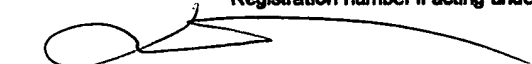


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<b>PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a)</b>		Docket Number (Optional) <b>GB8J</b>																									
Application Number <b>10/772,055</b>		Filed <b>02/03/04</b>																									
For <b>Three-Dimensional Memory Cells and Peripheral Circuits</b>																											
Art Unit <b>2814</b>		Examiner <b>Ngo; Ngan V.</b>																									
<p>This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a reply in the above identified application.</p> <p>The requested extension and fee are as follows (check time period desired and enter the appropriate fee below):</p> <table border="1"><thead><tr><th></th><th><u>Fee</u></th><th><u>Small Entity Fee</u></th><th></th></tr></thead><tbody><tr><td><input type="checkbox"/> One month (37 CFR 1.17(a)(1))</td><td>\$110</td><td>\$55</td><td>\$ <u>55</u></td></tr><tr><td><input type="checkbox"/> Two months (37 CFR 1.17(a)(2))</td><td>\$420</td><td>\$210</td><td>\$ _____</td></tr><tr><td><input type="checkbox"/> Three months (37 CFR 1.17(a)(3))</td><td>\$950</td><td>\$475</td><td>\$ _____</td></tr><tr><td><input type="checkbox"/> Four months (37 CFR 1.17(a)(4))</td><td>\$1480</td><td>\$740</td><td>\$ _____</td></tr><tr><td><input type="checkbox"/> Five months (37 CFR 1.17(a)(5))</td><td>\$2010</td><td>\$1005</td><td>\$ _____</td></tr></tbody></table> <p><input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p><input type="checkbox"/> A check in the amount of the fee is enclosed.</p> <p><input checked="" type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.</p> <p><input type="checkbox"/> The Director has already been authorized to charge fees in this application to a Deposit Account.</p> <p><input type="checkbox"/> The Director is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account Number _____. I have enclosed a duplicate copy of this sheet.</p> <p><b>WARNING:</b> Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p> <p>I am the <input checked="" type="checkbox"/> applicant/inventor.</p> <p><input type="checkbox"/> assignee of record of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed (Form PTO/SB/96).</p> <p><input type="checkbox"/> attorney or agent of record. Registration Number _____</p> <p><input type="checkbox"/> attorney or agent under 37 CFR 1.34. Registration number if acting under 37 CFR 1.34 _____</p> <p> _____ Signature</p> <p><b>09/03/04</b> _____ Date</p> <p><b>ZHANG; Guobiao</b> _____ Typed or printed name</p> <p><b>(650) 575-2014</b> _____ Telephone Number</p> <p>NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.</p> <p><input type="checkbox"/> Total of _____ forms are submitted.</p>					<u>Fee</u>	<u>Small Entity Fee</u>		<input type="checkbox"/> One month (37 CFR 1.17(a)(1))	\$110	\$55	\$ <u>55</u>	<input type="checkbox"/> Two months (37 CFR 1.17(a)(2))	\$420	\$210	\$ _____	<input type="checkbox"/> Three months (37 CFR 1.17(a)(3))	\$950	\$475	\$ _____	<input type="checkbox"/> Four months (37 CFR 1.17(a)(4))	\$1480	\$740	\$ _____	<input type="checkbox"/> Five months (37 CFR 1.17(a)(5))	\$2010	\$1005	\$ _____
	<u>Fee</u>	<u>Small Entity Fee</u>																									
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<input type="checkbox"/> Four months (37 CFR 1.17(a)(4))	\$1480	\$740	\$ _____																								
<input type="checkbox"/> Five months (37 CFR 1.17(a)(5))	\$2010	\$1005	\$ _____																								

This collection of information is required by 37 CFR 1.136(a). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

09/13/2004 ZJUHR1 00000008 10772055

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 SEP 10 2004  
 PATENT & TRADEMARK

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# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)

**Complete if Known**

Application Number	10/772,055
Filing Date	02/03/04
First Named Inventor	ZHANG; Guobiao
Examiner Name	Ngo, Ngan V.
Art Unit	2814
Attorney Docket No.	GB8J

**METHOD OF PAYMENT (check all that apply)**

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☐ Deposit Account:

Deposit Account Number  
 Deposit Account Name

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments

☐ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

**FEE CALCULATION**

1. BASIC FILING FEE		Fee Description	Fee Paid
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)		
1001 770	2001 385	Utility filing fee	
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
<b>SUBTOTAL (1)</b>			<b>(\$ 0)</b>

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims  -20\*\* =  X  =

Independent Claims  -3\*\* =  X  =

Multiple Dependent  =

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Code (\$)		
1202 18	2202 9	1201 86	2201 43	Claims in excess of 20	
1203 290	2203 145	1204 86	2204 43	Independent claims in excess of 3	
1204 86	2204 43	1205 18	2205 9	Multiple dependent claim, if not paid	
				** Reissue independent claims over original patent	
				** Reissue claims in excess of 20 and over original patent	
<b>SUBTOTAL (2)</b>					<b>(\$ 0)</b>

\*\*or number previously paid, if greater; For Reissues, see above

**3. ADDITIONAL FEES**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Code (\$)		
1051 130	2051 65	1052 50	2052 25	Surcharge - late filing fee or oath	
1053 130	1053 130	1812 2,520	1812 2,520	Surcharge - late provisional filing fee or cover sheet	
1804 920*	1804 920*	1805 1,840*	1805 1,840*	Non-English specification	
				For filing a request for <i>ex parte</i> reexamination	
				Requesting publication of SIR prior to Examiner action	
				Requesting publication of SIR after Examiner action	
1251 110	2251 55	1252 420	2252 210	Extension for reply within first month	55.00
1253 950	2253 475	1254 1,480	2254 740	Extension for reply within second month	
1255 2,010	2255 1,005	1401 330	2401 165	Extension for reply within third month	
		1402 330	2402 165	Extension for reply within fourth month	
		1403 290	2403 145	Extension for reply within fifth month	
1451 1,510	1451 1,510	1452 110	2452 55	Notice of Appeal	
1452 110	2452 55	1453 1,330	2453 665	Filing a brief in support of an appeal	
1453 1,330	2453 665	1501 1,330	2501 665	Request for oral hearing	
1501 1,330	2501 665	1502 480	2502 240	Petition to institute a public use proceeding	
1502 480	2502 240	1503 640	2503 320	Petition to revive - unavoidable	
1460 130	1460 130	1460 130	1460 130	Petition to revive - unintentional	
1807 50	1807 50	1808 180	1808 180	Utility issue fee (or reissue)	
1808 180	1808 180	8021 40	8021 40	Design issue fee	
8021 40	8021 40	1809 770	2809 385	Plant issue fee	
1809 770	2809 385	1810 770	2810 385	Petitions to the Commissioner	
1810 770	2810 385	1801 770	2801 385	Processing fee under 37 CFR 1.17(q)	
1801 770	2801 385	1802 900	1802 900	Submission of Information Disclosure Stmt	
1802 900	1802 900			Recording each patent assignment per property (times number of properties)	
				Filing a submission after final rejection (37 CFR 1.129(a))	
				For each additional invention to be examined (37 CFR 1.129(b))	
				Request for Continued Examination (RCE)	
				Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** **(\$ 55.00)**

**SUBMITTED BY**

Name (Print/Type)	ZHANG; Guobiao	Registration No. (Attorney/Agent)	Telephone	6505752014
Signature		Date	09/03/04	

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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